AP3 Rec'd PCT/PTO 02 MAY 2000

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007 OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES GKNG 1259 PCT DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/562,350 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP 2004/008222 29 JULY 2003 23 JULY 2004 TITLE OF INVENTION AXIAL SETTING DEVICE WITH DISC SPRING TRANSMISSION APPLICANT(S) FOR DO/EO/US KLAUS MÄTZSCHKER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. L A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 16. 🗸 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1 821-1 825 17. L 18. l A second copy of the published International Application under 35 U.S.C. 154(d)(4) A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademan. Office U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT. Page 1 of 3 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Amount to be

charged

S

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. GKNG 1259 PCT PCT/EP 2004/008222 10/562,350 20. Other items or information: RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS; COPY OF ORIGINAL NOTIFICATION OF MISSING REQUIREMENTS (AS REQUESTED) CALCULATIONS PTO USE ONLY The following fees have been submitted 21. Basic national fee (37 CFR 1.492(a))......\$300 0.00 Examination fee (37 CFR 1.492(c)) \$ 0.00 If the written opinion prepared by ISA/US or the international preliminary examination report prepared All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by s 0.00 International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400 All other situations.....\$500 \$0.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) **\$** 0 0 - 100 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$130.00 after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA RATE \$ NUMBER FILED CLAIMS x \$ 50 \$ O Total claims - 20 = 1 0 \$ O Independent claims \$200 - 3 = 1 0 \$ 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 TOTAL OF ABOVE CALCULATIONS = 130.00 \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 130.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 0 claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 130.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 0 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 130.00 Amount to be \$ refunded:

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

	A check in the amount of \$ to cover the above	stoon in analogad		
a.   _     .				
b. <b> </b> ✓	Please charge my Deposit Account No. 50-0476 in the amount of \$ A duplicate copy of this sheet is enclosed.	to cover the above lees		
c. 🗸	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0476. A duplicate copy of this sheet is enclosed.			
d. 🗆	Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
	•	$\mathcal{O}$		
SEND ALL CORRESPONDENCE TO:				
Rober	rt P. Renke	SIGNATURE		
	Artz, P.C.	Robert P. Renke		
	3 Telegraph Road, Suite 250 field, MI 48034	NAME		
U.S.A	·	40,783		
	223-9500	REGISTRATION NUMBER		
(248)	223-9522 FAX			
Dated: April 28, 2006				
		•		
1				

05/09/2006 MKAYPAGH 00000180 500476 10562350

01 FC:1617

130.00 DA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Klaus Mätzschker

Serial No.:

10/562,350

Filed:

December 27, 2005

For:

AXIAL SETTING DEVICE WITH DISC SPRING TRANSMISSION

Attorney Docket No.:

GKNG 1259 PCT

I hereby certify that this correspondence is being deposited with the United States Postal Service as firstclass mail, postage fully prepaid, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

April 28, 2006

Date of Deposit

**Angie Moscowitz** 

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements dated March 4, 2006, Applicant is submitting herewith the required Declaration under 37 CFR 1.63.

Also enclosed herewith is a copy of the Notification of Missing Requirements as requested.

GKNG 1259 PCT

The Commissioner is hereby authorized to charge Deposit Account No. 50-0476, in the name of John A. Artz, P.C. in the amount of \$130.00 for payment of the required missing parts fee. The Commissioner is further authorized to charge any additional fees necessary, or credit any overpayment concerning this application to Deposit Account No. 50-0476 in the name of John A. Artz, P.C.

Respectfully submitted,

ARTZ & ARTZ, P.C.

Robert P. Renke, Reg. No. 40,783 28333 Telegraph Road, Suite 250

Southfield, MI 48034

(248) 223-9500

Dated: April 28, 2006



27256

**SUITE 250** 

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. Dex 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.
GKNG 1259 PCT

10/562,350

klaus Matzschker

INTERNATIONAL APPLICATION NO.

PCT/EP04/08222

I.A. FILING DATE

PRIORITY DATE

4.00

APR 1 0 2006

RECEIVED

07/23/2004

07/29/2003

CONFIRMATION NO. 5631

371 FORMALITIES LETTER

ue 6-4-06)

\*OC000000018427710\*

Date Mailed: 04/04/2006

ARTZ & ARTZ, P.C.

28333 TELEGRAPH RD.

SOUTHFIELD, MI 48034

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/27/2005
- Copy of the International Search Report filed on 12/27/2005
- Preliminary Amendments filed on 12/27/2005
- Information Disclosure Statements filed on 12/27/2005
- Oath or Declaration filed on 12/27/2005
- Request for Immediate Examination filed on 12/27/2005
- U.S. Basic National Fees filed on 12/27/2005
- Substitute Specification filed on 03/14/2006
- Priority Documents filed on 12/27/2005
- Power of Attorney filed on 12/27/2005
- Specification filed on 12/27/2005
- Claims filed on 12/27/2005
- Abstracts filed on 12/27/2005
- Drawings filed on 12/27/2005

ENTERED CPI

Rv.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/562,350	PCT/EP04/08222	GKNG 1259 PCT

FORM PCT/DO/EO/905 (371 Formalities Notice)